



PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of

Docket No: Q79380

Alexander EPPLÉ, et al.

Appln. No.: 10/772,310

Group Art Unit: 2873

Confirmation No.: 7421

Examiner: Deborah RAIZEN

Filed: February 06, 2004

For: CATADIOPTRIC REDUCTION LENS

**INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 C.F.R. §§ 1.97 and 1.98**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents is submitted herewith, except for the following: U.S. patents and/or U.S. patent publications; and co-pending non-provisional U.S. applications filed after June 30, 2003.

The present Information Disclosure Statement is being filed (without a Statement Under 37 C.F.R. § 1.97(e)) after the later of three months from the application's filing date and the mailing date of the first Office Action on the merits, but before a Final Office Action, Notice of

Allowance, or an action that otherwise closes prosecution in the application (whichever is

INFORMATION DISCLOSURE STATEMENT

U.S. Appln. No.: 10/772,310

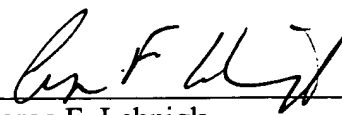
earlier), and therefore the USPTO is directed and authorized to charge the fee of \$180.00 under 37 C.F.R. § 1.17(p) to Deposit Account No. 19-4880.

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for foreign language documents, Applicant directs the Examiner's attention to the concise statements of relevance for the foreign-language documents being submitted as set forth in the priority document Provisional US Appl'n No. 60/530,622 filed on December 19, 2003, and the translation thereof filed in the Provisional on December 20, 2004. A copy of this translation is enclosed herewith. In addition, English-language Abstracts of foreign language documents are being submitted herewith.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

The USPTO is directed and authorized to charge all required fees, except for the Issue Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any overpayments to said Deposit Account. A duplicate copy of this paper is attached.

Respectfully submitted,

  
George F. Lehnigk  
Registration No. 36,359

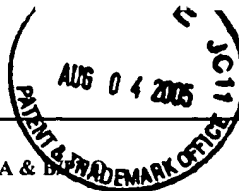
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WASHINGTON OFFICE

**23373**

CUSTOMER NUMBER

Date: August 4, 2005



<b>Substitute for Form 1449 A &amp; B</b>  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)				<b>Complete if Known</b>	
				Application Number	10/772,310
				Confirmation Number	7421
				Filing Date	February 6, 2004
				First Named Inventor	Alexander EPPLE
				Art Unit	2873
Sheet 1 of 1				Examiner Name	Deborah RAIZEN
				Attorney Docket Number	Q79380

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		Number	Kind Code <sup>2</sup> (if known)		
		US 2002/0197946	A1	02/14/2002	Katz et al.
		US 5,636,066		06/03/1997	Takahashi
		US 2003/0021040	A1	01/30/2003	Epple et al.
		US 60/511,673		10/17/2003	Shafer et al.
		US 2001/0022691	A1	09/20/2001	Furter et al.

FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Translation <sup>6</sup>
		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)			
		EP	1 191 378	A1	03/27/2002	Nikon Corporation	
		WO	03/036361	A1	05/01/2003	Nikon Corporation	Abstract
		JP	2002-372668		12/26/2002	Nikon Corporation	Abstract
		WO	02/082159	A1	10/17/2002	SCHETNIKOV, Anatoly Alekseevich, et al.	Abstract
		WO	03/052462	A2	06/26/2003	Carl Zeiss SMT AG	

NON PATENT LITERATURE DOCUMENTS				
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	Translation <sup>6</sup>	

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov), MPEP 901.04 or follow the hyperlink from the title of the document to the intranet. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to indicate here if English language Translation is attached.